



3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Vrba et al.

Examiner: Unknown

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Serial No.: 10/020,629

Group Art Unit: 3731

MAR 03 2003

Filed: October 29, 2001

Docket No.: 1001.1514101

TECHNOLOGY CENTER R3750

For: DISTAL PROTECTION DEVICE AND METHOD OF USE THEREOF

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 24 day of February, 2003.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Two (2) references.
- ☒ Certification for Information Disclosure Statement Under 37 CFR 1.97(e)
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
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PATENT TRADEMARK OFFICE



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MAR 03 2003

TECHNOLOGY CENTER R3700

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Applicant : Vrba et al.

Examiner: Unknown

Serial No.: 10/020,629

Group Art Unit: 3731

Filed: October 29, 2001

Docket No.: 1001.1514101

For: DISTAL PROTECTION DEVICE AND METHOD OF USE THEREOF

Assistant Commissioner
for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231.

24th DAY OF February, 2003.

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references
establishes, by itself or in combination with other information, a prima facie case of
unpatentability of any claim of the present case.

Respectfully submitted,

Dated:

Feb. 24, 2003

Glenn M. Seager, Reg. No. 36,926
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28075

PATENT TRADEMARK OFFICE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Vrba et al.

Examiner: Unknown

Serial No.: 10/020,629

Group Art Unit: 3731

RECEIVED

MAR 03 2003

Filed: October 29, 2001

Docket No.: 1001.151410 **TECHNOLOGY CENTER #3700**

For: DISTAL PROTECTION DEVICE AND METHOD OF USE THEREOF

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97(e)

Assistant Commissioner
for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231.

Glenn M. Seager
February 27, 2003.
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement
accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a
counterpart foreign application not more than three months prior to the filing of
the statement. 37 CFR §1.97(e)(1).

or

— That no item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.
37 CFR §1.97(e)(2).

3. The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in §1.56(c).
- ☒ in the attorney's file.


Glenn M. Seager, Reg. No. 36,926

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